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Dated: August 3, 2005

Signature:

Michael J. Doherty
(Michael J. Doherty)

Docket No.: SPINE 3.0-436 CIP CIP CONT
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Ralph et al.

Application No.: 10/725,305

Group Art Unit: 3738

Filed: December 1, 2003

Examiner: Not Yet Assigned

For: INTERVERTEBRAL SPACER DEVICE
UTILIZING A SPIRALLY SLOTTED
BELLEVILLE WASHER HAVING
RADIALLY EXTENDING GROOVES

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

Dear Sir:

Please amend the filing receipt of the above application to correct the domestic priority data as claimed by applicant.

REMARKS

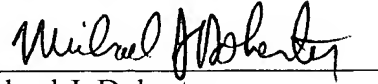
In the above-identified application, the domestic priority data is listed incorrectly. An "initial" Application Data Sheet is submitted herewith as well as a copy of the filing receipt. An Application Data Sheet was not initially filed with this application on December 1, 2003 since this document was not required.

We therefore respectfully request a correcting filing receipt which reflects the correct domestic priority data.

Thank you for your attention to this matter and we await receipt of the corrected filing receipt.

Dated: August 3, 2005

Respectfully submitted,

By 

Michael J. Doherty

Registration No.: 40,592

LERNER, DAVID, LITTENBERG,

KRUMHOLZ & MENTLIK, LLP

600 South Avenue West

Westfield, New Jersey 07090

(908) 654-5000

Attorney for Applicant



Application Data Sheet

Application Information

Application Type::	Regular
Subject Matter::	Utility
Suggested Group Art Unit::	3738
CD-ROM or CD-R?::	None
Sequence submission?::	None
Computer Readable Form (CRF)?::	No
Title::	INTERVERTEBRAL SPACER DEVICE UTILIZING A SPIRALLY SLOTTED BELLEVILLE WASHER HAVING RADIALY EXTENDING GROOVES
Attorney Docket Number::	SPINE 3.0-436 CIP CIP CONT
Request for Early Publication?::	No
Request for Non-Publication?::	No
Suggested Drawing Figure::	6
Total Drawing Sheets::	4
Small Entity?::	No
Petition included?::	No
Secrecy Order in Parent Appl.?::	No

Applicant Information

Applicant Authority Type::	Inventor
Primary Citizenship Country::	US
Status::	Full Capacity
Given Name::	James
Middle Name::	D.
Family Name::	Ralph
City of Residence::	Seaside Park
State or Province of Residence::	NJ
Country of Residence::	US

Street of mailing address:: P.O. Box 99
City of mailing address:: Seaside Park
State or Province of mailing address:: NJ
Postal or Zip Code of mailing address:: 08752

Applicant Authority Type:: Inventor
Primary Citizenship Country:: US
Status:: Full Capacity
Given Name:: Stephen
Family Name:: Tatar
City of Residence:: Montville
State or Province of Residence:: NJ
Country of Residence:: US
Street of mailing address:: 45 Upper Mountain Avenue
City of mailing address:: Montville
State or Province of mailing address:: NJ
Postal or Zip Code of mailing address:: 07045

Correspondence Information

Correspondence Customer Number:: 51640

Representative Information

Representative Customer Number:: 51640

Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	Continuation of	09/970,479	10/04/01
09/970,479	Continuation-in-part of	09/968,046	10/01/01
09/968,046	Continuation-in-part of	09/789,936	02/15/01

Foreign Priority Information

Assignee Information

Assignee name::	SpineCore, Inc.
Street of mailing address::	475 Springfield Ave 4th Floor
City of mailing address::	Summit
State or Province of mailing address::	NJ



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Docket No.: SPINE 3.0-436 CIP CIP CONT
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Ralph et al.

Application No.: 10/725,305

Confirmation No.: 2899

Filed: December 1, 2003

Art Unit: 3738

For: INTERVERTEBRAL SPACER DEVICE
UTILIZING A SPIRALLY SLOTTED
BELLEVILLE WASHER HAVING
RADIALY EXTENDING GROOVES

Examiner: Not Yet Assigned

NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Assignee, SpineCore, Inc., hereby notifies the Patent and Trademark Office that it is not entitled to status as a small entity, and that the claim for small entity status filed by the Applicant is hereby withdrawn.

Dated: August 3, 2005

Respectfully submitted,

By *Michael J. Doherty*
Michael J. Doherty

Registration No.: 40,592
LERNER, DAVID, LITTENBERG,
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600 South Avenue West
Westfield, New Jersey 07090
(908) 654-5000
Attorney for Applicant



PTO/SB/82 (04-05)

Approved for use through 11/30/2005. OMB 0851-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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REVOCATION OF POWER OF ATTORNEY WITH NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS	Application Number	10/725,305
	Filing Date	December 1, 2003
	First Named Inventor	James D. Ralph
	Art Unit	3738
	Examiner Name	Not Yet Assigned
	Attorney Docket Number	SPINE 3.0-436 CIP CIP CON

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number: ☒ Please change the correspondence address for the above-identified application to:☐ The address associated with
Customer Number:

OR

☐ Firm or
Individual Name

Address

City

Country

State

Zip

Telephone

Email

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Signature

Name

Joseph P. Errico, SpineCore, Inc.

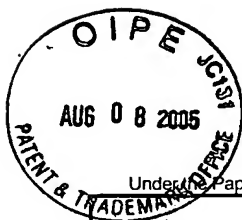
Date

7/27/05

Telephone

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of 1 forms are submitted.



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PTO/SB/96 (09-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: James D. Ralph and Stephen Tatar

Application No./Patent No.: 10/725,305 Filed/Issue Date: December 1, 2003

Entitled: INTERVERTEBRAL SPACER DEVICE UTILIZING A SPIRALLY SLOTTED BELLEVILLE WASHER HAVING RADIALLY EXTENDING GROOVES

SpineCore, Inc., a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: James D. Ralph and Stephen Tatar To: SpineCore, LLC
The document was recorded in the United States Patent and Trademark Office at Reel 012231, Frame 0817, or for which a copy thereof is attached.
2. From: SpineCore, LLC To: Third Millennium Engineering, LLC
The document was recorded in the United States Patent and Trademark Office at Reel 012850, Frame 0992, or for which a copy thereof is attached.
3. From: Third Millennium Engineering, LLC To: SpineCore, Inc.
The document was recorded in the United States Patent and Trademark Office at Reel 014344, Frame 0937, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Michael J. Doherty
Signature

August 3, 2005
Date

MICHAEL J. DOHERTY
Printed or Typed Name

(908) 518-6337
Telephone Number

Authorized Signer for Assignee
Title